JUN 24 '02 11:58AM PATENT DEPT

P-3724-2-F1-C2 PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Michael J. Sullivan

Serial No.: 09/832,154 Examiner: R. Gorden

Filing Date: April 10, 2001

Group Art Unit: 3711

For: IMPROVED MULTI-LAYER GOLF BALL

Commissioner for Patents & Trademarks

Washington, DC 20231

Dullicate accepted Marlion date= 1/8/22

Sir:

Stephen Marcus Special Program Examiner Group 3700 6/26/5

This is in response to the Office Action of December 18, 2001. Please consider the following remarks.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

 $\mathbf{x}\mathbf{x}$ transmitted by facsimile to the Patent and Trademark Office to Examiner R. Gorden in TC3700 at 703-872-9302.

Laura J. Nolan

(type or print name of person certifying)

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REMARKS

Reconsideration of the present application is respectfully requested. Claims 1 to 6 are currently pending, and no claims have been amended.

The Office Action mailed December 18, 2001 addressed Claims 1 to 6. Claims 1 to 6 were rejected.

Claims 1 to 6 were rejected under the judicially created doctrine of obvious-type double patenting as being unpatentable over claims 1 to 8 of U.S. Patent No. 6,210,293. The Examiner stated that although the conflicting claims are not identical, they are not patentably distinct from each other because the claimed subject matter is very similar. The Examiner stated that the present invention claims a golf ball comprising a core, an inner cover and an outer cover, and the inner cover has a Shore D hardness of 60 or more and is made from a blend of two or more low acid ionomer resins containing no more than 16% by weight of an alpha, beta-unsaturated carboxylic acid, and the outer cover layer has a Shore D hardness of 64 or less and is made from a polyurethane or non-ionomeric thermoplastic and thermosetting elastomers. The Examiner further stated that the '293 patent claims a golf ball with identical layers and features except the optional non-ionomeric thermoplastic and thermosetting elastomer materials for the outer cover layer, however the present invention and the '293 patent both claim a polyurethane outer cover. The Examiner concluded that claiming additional materials for the outer cover layer does not render a patentable distinction, and one skilled in the art would have included optional materials for the outer cover layer to increase manufacturing flexibility.

Although Applicant respectfully disagrees with the Examiner, in an effort to hasten prosecution, Applicant herein submits a Terminal Disclaimer which disclaims the terminal portion of any patent granting from the instant application, as required under MPEP § 1490. Applicant respectfully submits that this overcomes the rejection.

The Examiner is invited to telephone Applicant's attorney if it is deemed that a telephone conversation will hasten prosecution of the application.

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09/832,154

P-3724-2-F1-C2

CONCLUSION

Applicant respectfully requests reconsideration and allowance of each of the presently rejected claims, claims 1 to 6. Applicant respectfully requests allowance of claims 1 to 6, the claims currently pending.

Respectfully submitted,

MICHAEL J. SULLIVAN

Customer No. <u>24492</u> Phone: (413) 322-2937

Date: 12 8, 2002

Michelle Bugbee, Reg. No. 42,370 Spalding Sports Worldwide Attorney for Applicant

425 Meadow Street

P.O. Box 901

Chicopee, MA 01021-0901

cc: Richard M. Klein, Esquire (SLD 2 0035-3-3-1-1(II))



P-3724-2-F1-C2 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael J. Sullivan

Serial No.:

09/832,154

Filed:

April 10, 2001

Group No.:

3711

Examiner:

R. Gorden

Por:

Improved Multi-Layer Golf Ball

Commissioner of Patents and Trademarks Washington, D.C. 20231

TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING **REJECTION (37 CFR 1.321(b))**

Identification Of Person(s) Making This Disclaimer

Name(s) of disclaimant(s):

Michelle Bugbee

having an address of:

Spalding Sports Worldwide, Inc.

425 Meadow Street Chicopee, MA 01013

represent that I am

an inventor of this invention

an assignce of this invention

XX Attorney of record in the present application

CERTIFICATE OF FACSIMILE TRANSMISSION (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile on the date shown below to the Patent and Trademark Office to

Examiner R. Gorden in TC3700 at 703-872-9302.

09832154

(Terminal Disclaimer to Obviate a Double Patenting Rejection (37CFR 1.321(b))

81 FC:148 (9-4)-148-88-61

Received from < 4133222575 > at 6/24/02 12:01:09 PM [Eastern Daylight Time]

JUN 24 '02 11:59AM PATENT DEPT

P.7/9

09/832,154

-2-

P-3724-2-F1-C2

Identity of Assignce and Title of Disclaimant (if applicable)

The assignee is

Name of assignee:

Spalding Sports Worldwide, Inc.

Address of assignee:

425 Meadow Street

Chicopee, MA 01013

Title of disclaimant authorized to sign on behalf of assignee:

Associate Patent Counsel

Recordal of Assignment in PTO

XX the assignment to Spalding Sports Worldwide, Inc. from Lisco Inc. was recorded on Reel: 010232; Frame: 0251 on April 23, 1999; the assignment to Lisco Inc. was recorded on Reel: 7750; Frame: 0242 on November 9, 1995

authorization for recordal of the assignment is separately attached

Extent of Interest

The extent of my (our) interest is in

XX the whole of this invention

a sectional interest in this invention as follows (here state the exact interest of the disclaimant(s):

Statement Pursuant to 37 C.F.R. 3.73(b)

I the undersigned, have reviewed all the evidentiary documents in the chain of title of the

XX patent application

patent

matter identified above and, to the best of my knowledge and belief, title is in the assignee identified above which is seeking to take action.

(Terminal Disclaimer to Obviate a Double Patenting Rejection (37CFR 1.321(b))

[9-4]-page 2 of 4)

Received from < 4133222575 > at 6/24/02 12:01:09 PM [Eastern Daylight Time]

JUN 24 '02 12:00PM PATENT DEPT

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-3-

P-3724-2-F1-C2

Disclaimer

I hereby disclaim the terminal part of any patent granted on the above-identified application, which would extend beyond the expiration date of the full statutory term of:

XX United States Patent No. 6,210,293, as presently shortened by any terminal disclaimer

Any patent granted on application number:

and hereby agree that any patent so granted on the above-identified application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to

XX United States Patent No. 6,210,293

Any patent granted on application number:

this agreement to run with any patent granted on the above-identified application and to be binding upon the grantor, its successors or assigns.

Petitioner does not disclaim any terminal part of any patent granted on the above-identified application prior to the expiration date of the full statutory term of

XX United States Patent No. 6,210,293 as presently shortened by any terminal disclaimer

Any patent granted on application number:

In the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321(a), has all claims canceled by a reexamination certificate, or is otherwise terminated prior to expiration of its statutory term as presently shortened by any terminal disclaimer, except for the separation of legal title stated above.

> (Terminal Disclaimer to Obviate a Double Patenting Rejection (37CFR 1.321(b)) (9-4)--page 3 of 4)

JUN 24 '02 12:00PM PATENT DEPT

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09/832,154

P-3724-2-F1-C2

Fee Status

(37 CFR 1.20(d) and 37 CFR 1.321)

XX other than a small entity fee \$110.00						
small entityfee \$55.00						
verified statement attached						
verified statement filed on						
Fee Payment						
Attached is a check in the sum of \$						
The fee for this Disclaimer was previously paid on : XX Charge Account 17-0150 for any fee deficiency required by this paper.						
<u>XX</u> Charge Account 17-0150 the sum of \$110.00.						

Declaration

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Spalding Sports Worldwide, Inc.

Michelle Bugbee, Associate Patent Counsel

Reg. No. 42,370

Spalding Sports Worldwide, Inc. 425 Meadow Street, P. O. Box 901 Chicopee, MA 01021-0901

(Terminal Disclaimer to Obviate a Double Patenting Rejection (37CFR 1.32) (b)) (9-4)--page 4 of 4) 11:57AM PATENT DEPT

P.1/9

P-3724-2-F1-C2 PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of Michael J. Sullivan

Serial No.:

09/832,154

Examiner: R. Gorden

Filing Date: April 10, 2001

Group Art Unit: 3711

For: IMPROVED MULTI-LAYER GOLF BALL

Assistant Commissioner for Patents Washington, DC 20231

Sir:

RESPONSE

This is a COPY of the previously faxed Response that was faxed to the USPTO (Group 3700 Non Final at 703-872-9302) on January 8, 2002, the date on the Certificate of Mailing/Transmission. Please accept this COPY of the response.

Respectfully submitted,

MICHAEL J. SULLIVAN

(413)322-2937

Date:

Michelle Bugbee, Reg. No. 42,370

Attorney for Applicants Spalding Sports Worldwide

425 Meadow Street, P. O. Box 901

Chicopee, MA 01021-0901

CERTIFICATE OF FACSIMILE TRANSMISSION (37 CFR 1.8a)

I hereby certify that this paper (along with a copy of the Amendment and Terminal Disclaimer) is being transmitted by facsimile on the date shown below to the Patent and Trademark Office to Examiner R. Gorden in TC3700 at 703-746-3208.

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• JUN 24 '02 11:57AM PATENT DEPT 81/08/02 15:40:42

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P.2/9 Page 601

TO:Auto-reply fax to 4133222575 COMPANY:



Auto-Reply Facsimile Transmission



TO:

Fax Sender at 4133222575

Fax Information

Date Received: Total Pages: 1/8/02 3:39:07 PM [Eastern Standard Time]

7 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 702.04 et seq.

2.347 JAN DO 'DE BATSAPA PAYENT DEPT Received Cover Page Y-3724-2-87-C2 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE. In re patent application of Michael J. Sullivan Serial No.: 09/832,154 Examiner: R. Gorden Group Art Unit: 3711 Filing Date: April 10, 2001 For: IMPROVED MULTI-LAYER GOLF BALL Commissioner for Patents & Trademarks Washington, DC 20231 Ser RESPONSE This is in response to the Office Action of December 18, 2001. Please consider the following committee. CENTIFICATE OF MAILING/TRANSMISSION (77 C.F. D. 1. E.) I hereby worldy due this correspondence by an the deel shown below, being: FACSIMILE MAILDW m the Polem and Trades Order to Examiner R. C in TC3700 as 703-872-8 ice who sufficient populate at them o Resolved from + 4 12227201 > at 1652 \$12207 Pikifization Standard Time

P.1/4

SPALDING SPORTS WORLDWIDE, INC.

425 Meadow Street P.O. Box 901 Chicopee, MA 01021-0901 U.S.A.

PHONE: 413-536-1200

FAX: 413-322-2575 (LEGAL II & PATENT)

CONFIDENTIAL FACSIMILE TRANSMISSION

TO:

Examiner R. Gorden

FROM: Michelle Bugbee (413) 322-2937

Phone: 703-308-8354

DATE: September 25, 2002

Fax: 703-746-3208

PAGES: 4 (includes cover page)

RE:

Application Serial Number 09/873,642 - 09/832,154/

MESSAGE: Examiner Gordon,

Per our discussion, attached are the original claims from Application Serial No. 08/556,237 (filed 11/9/95), pages 48 to 50. Please note that original claim 8 should is misnumbered and should be claim 6. If you need any additional information, please do not hesitate to contact me. Thank you for your help.

Very truly yours,

Michelle Bugbee

Associate Patent Counsel Registration No. 42,370

PLEASE CALL LAURA NOLAN AT EXT. 2985 - IF YOU DO NOT RECEIVE ALL PAGES.

CONFIRMATION COPY TO FOLLOW: YES

CONFIDENTIALITY NOTE:

The information contained in this facsimile message is legally privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copy of this telecopy is strictly prohibited. If you have received this telecopy in error, please immediately notify us by telephone and return the original message to us at the address above via the Postal Service. Thank you.

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I claim:

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1. A golf ball comprising:

a core;

an inner cover layer having a Shore D hardness of 60 or more molded on said core, the inner cover layer comprising a blend of two or more low acid ionomer resins containing no more than 16% by weight of an alpha, beta-unsaturated carboxylic acid; and

an outer cover layer having a Shore D hardness of 64 or less molded on said inner cover layer, said outer cover layer comprising a relatively soft polymeric material selected from the group consisting of non-ionomeric thermoplastic and thermosetting elastomers.

- 2. A golf ball according to claim 1, wherein the inner cover layer has a thickness of about 0.100 to about 0.010 inches and the outer cover layer has a thickness of about 0.010 to about 0.70 inches, the golf ball having an overall diameter of 1.680 inches or more.
- 3. A golf ball according to claim 1 wherein the inner cover layer has a thickness of about 0.050 inches and the outer cover layer has a thickness of about 0.055 inches, the golf ball having an overall diameter of 1.680 inches or more.

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- 4. A golf ball according to claim 1 wherein the outer layer comprises a polyurethane based material.
 - 5. A multi-layer golf ball comprising:
 - a spherical core;

an inner cover layer having a Shore D hardness of about 60 or more molded over said spherical core, said inner cover layer comprising an ionomeric resin including no more than 16% by weight of an alpha, beta-unsaturated carboxylic acid and having a modulus of from about 15,000 to about 70,000 psi;

an outer cover layer having a Shore D hardness of about 64 or less molded over said spherical intermediate ball to form a multi-layer golf ball, the outer layer comprising polyurethane based material.

- 8. A multi-layer golf ball comprising:
- a spherical core;

an inner cover layer molded over said spherical core to form a spherical intermediate ball, said inner cover layer comprising an ionomeric resin having no more than 16% by weight of an alpha, beta-unsaturated carboxylic acid and having a modulus of from about 15,000 to about 70,000 psi;

an outer cover layer molded over said spherical intermediate ball to form a multi-layer golf ball, the outer layer

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comprising a non-ionomeric elastomer selected from the group consisting of polyester elastomer, polyester, polyether polyurethane and polyester amide, said outer cover layer having a modulus in a range of about 1,000 to about 30,000 psi.

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P.1/6 #10/Suppl.

PATENT P-3724-2-F1-C2 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Michael J. Sullivan

Serial No.:

09/832,154

Examiner: R. Gorden

Filing Date: April 10, 2001

Group Art Unit: 3711

For: IMPROVED MULTI-LAYER GOLF BALL

Commissioner for Patents & Trademarks

Washington, DC 20231

EN Supplementalment res

Sir:

SUPPLEMENTAL AMENDMENT

This is a Supplemental Amendment in response to the Office Action of December 18, 2001. Entry of the following amendment to the application is requested.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

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deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

transmitted by facsimile to the Patent and Trademark Office to Examiner R. Gorden in TC3700 at 703-746-3208.

Received from < 4133222575 > at 9/26/02 9:02:15 AM [Eastern Daylight Time]

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09/832,154

P-3724-2-F1-C2

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IN THE SPECIFICATION

Please replace the paragraph beginning at page 24, line 23 with the following rewritten paragraph:

Other soft, relatively low modulus non-ionomeric thermoplastic elastomers may also be utilized to produce the outer cover layer as long as the non-ionomeric thermoplastic elastomers produce the playability and durability characteristics desired without adversely effecting the enhanced spin characteristics produced by the low acid ionomer resin compositions. Preferably, the non-ionomeric thermoplastic elastomers have a Shore D hardness of 64 or less and a flexural modulus of from about 1,000 to about 30,000 psi. These include, but are not limited to thermoplastic polyurethanes such as: Texin* thermoplastic polyurethanes from Mobay Chemical Co. and the Pellethane* thermoplastic polyurethanes from Dow Chemical Co.; Ionomer/rubber blends such as those in Spalding U.S. Patents 4,986,545; 5,098,105 and 5,187,013; and, Hytrel* polyester elastomers from DuPont and Pebax* polyesteramides from Elf Atochem S.A.

IN THE CLAIMS

Please amend claim 6 as follows:

6. (TWICE AMENDED) A multi-layer golf ball comprising:

a spherical core:

an inner cover layer molded over said spherical core to form a spherical intermediate ball, said inner cover layer having a Shore D hardness of 60 or greater and comprising an ionomeric resin having no more than 16% by weight of an alpha, beta-unsaturated carboxylic acid and having a modulus of from about 15,000 to about 70,000 psi;

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an outer cover layer molded about said spherical intermediate ball to form a multi-layer golf ball, the outer layer comprising a non-ionomeric elastomer selected from the group consisting of polyester elastomer, polyester, polyether polyurethane and

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polyester amide, said outer cover layer having a modulus in the range of about 1,000 to about 30,000 psi and a Shore D hardness of 64 or less.

REMARKS

Reconsideration of the present application is respectfully requested. Claims 1 to 6 are currently pending, and claim 6 has been amended.

The Office Action mailed December 18, 2001 addressed Claims 1 to 6. Claims 1 to 6 were rejected.

A response and terminal disclaimer were previously submitted on January 8, 2002, and a duplicate copy of the response was submitted on June 24, 2002.

In a telephonic interview with the Examiner on September 25, 2002, Examiner Gorden requested that claim 6 be amended to include the Shore D hardness of both the inner and outer cover layers. Per the Examiner's request, claim 6 has been amended to include Shore D hardness values. Additionally, the specification has been amended to recite the preferred Shore D hardness of the outer cover layer for non-ionomeric elastomers, as well as the flexural modulus of non-ionomeric elastomers. Support for this amendment may be found in the original claims filed in a parent application, U.S. Patent Application Serial No. 08/556,237, filed on November 9, 1995. A copy of the original claims in the parent application was faxed to Examiner Gorden, per her request, on September 25, 2002.

Attached hereto is a marked-up version of the changes made to the application by this Amendment. The Examiner is invited to telephone Applicant's attorney if it is deemed that a telephone conversation will hasten prosecution of the application.



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09/832,154

P-3724-2-F1-C2

CONCLUSION

Applicant respectfully requests reconsideration and allowance of each of the presently rejected claims, claims 1 to 6. Applicant respectfully requests allowance of claims 1 to 6, the claims currently pending.

Respectfully submitted,

MICHAEL J. SULLIVAN

Customer No. <u>24492</u> Phone: (413) 322-2937

Date: Sistember 26, 2002

Michelle Bugbee, Reg No. 42,370

Spalding Sports Worldwide Attorney for Applicant 425 Meadow Street

P.O. Box 901

Chicopee, MA 01021-0901

cc: Richard M. Klein, Esquire (SLD 2 0035-3-3-1-1(II))

SEP 26 '02 09:02AM PATENT DEPT

P.5/6

09/832,154

P-3724-2-F1-C2

VERSION WITH MARKINGS TO SHOW CHANGES IN THE SPECIFICATION

The paragraph beginning at page 24, line 23 has been replaced with the following rewritten paragraph:

Other soft, relatively low modulus non-ionomeric thermoplastic elastomers may also be utilized to produce the outer cover layer as long as the non-ionomeric thermoplastic elastomers produce the playability and durability characteristics desired without adversely effecting the enhanced spin characteristics produced by the low acid ionomer resin compositions. Preferably, the non-ionomeric thermoplastic elastomers have a Shore D hardness of 64 or less and a flexural modulus of from about 1,000 to about 30,000 psi. These include, but are not limited to thermoplastic polyurethanes such as: Texin² thermoplastic polyurethanes from Mobay Chemical Co. and the Pellethane² thermoplastic polyurethanes from Dow Chemical Co.; Ionomer/rubber blends such as those in Spalding U.S. Patents 4,986,545; 5,098,105 and 5,187,013; and, Hytrel² polyester elastomers from DuPont and [pebax] Pebax² polyesteramides from Elf Atochem S.A.

IN THE CLAIMS

Claim 6 has been amended as follows:

6. (TWICE AMENDED) A multi-layer golf ball comprising:

a spherical core:

an inner cover layer molded over said spherical core to form a spherical intermediate ball, said inner cover layer having a Shore D hardness of 60 or greater and comprising an ionomeric resin having no more than 16% by weight of an alpha, beta-unsaturated carboxylic acid and having a modulus of from about 15,000 to about 70,000 psi;

an outer cover layer molded about said spherical intermediate ball to form a multi-layer golf ball, the outer layer comprising a non-ionomeric elastomer selected from the group consisting of polyester elastomer, polyester, polyether polyurethane and



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polyester amide, said outer cover layer having a modulus in the range of about 1,000 to about 30,000 psi and a Shore D hardness of 64 or less.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER OF PATENTS AND TRADEMARKS Weshington, D.C. 20231

NOTICE OF ALLOWANCE AND FEE(S) DUE

24492

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09/27/2002

MICHELLE BUGBEE, ASSOCIATE PATENT COUNSEL SPALDING SPORTS WORLDWIDE INC **425 MEADOW STREET** PO BOX 901 CHICOPEE, MA 01021-0901

EXAMINER GORDON, RAEANN

ART UNIT

CLASS-SUBCLASS

3711

473-374000

DATE MAILED: 09/27/2002

FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE 2656 P-3724-2-F1-C2 09/832.154 04/10/2001 Michael J. Sullivan

TITLE OF INVENTION: MULTI LAYER GOLF BALL

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1280	\$300	\$1580	12/27/2002	-

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:**

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page I of 4

PTOL-85 (REV. 04-02) Approved for use through 01/31/2004.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

Commissioner for Patents

Washington, D.C. 20231

(703)746-4000 Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications.

CURRENT CURRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 09/27/2002 MICHELLE BUGBEE, ASSOCIATE PATENT COUNSEL SPALDING SPORTS WORLDWIDE INC Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below. **425 MEADOW STREET** PO BOX 901 CHICOPEE, MA 01021-0901 (Signatu Dat CONFIRMATION NO. ATTORNEY DOCKET NO. APPLICATION NO. FILING DATE FIRST NAMED INVENTOR P-3724-2-F1-C2 2656 Michael I. Sullivan 00/832 154 04/10/2001 TITLE OF INVENTION: MULTI LAYER GOLF BALL PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE ISSUE FEE APPLN. TYPE SMALL ENTITY \$1580 12/27/2002 \$1280 \$300 nonprovisional NO ART UNIT CLASS-SUBCLASS EXAMINER 473-374000 GORDON, RAEANN 3711 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered © Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. attorney or agent) and the names of up to 2 ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer registered patent attorneys or agents. If no name is listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filling an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☐ corporation or other private group entity ☐ government 4b. Payment of Fee(s): 4a. The following fee(s) are enclosed: A check in the amount of the fee(s) is enclosed. ☐ Issue Fee ☐ Payment by credit card. Form PTO-2038 is attached. O Publication Fee Q The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number ______(enclose an extra copy of this form). ☐ Advance Order - # of Copies Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) (Date) NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or oth interest as shown by the records of the United States Patent and Trademark Office. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER OF PATENTS AND TRADEMARKS Weshington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/832,154 04/10/2001		Michael J. Sullivan	P-3724-2-F1-C2	2656
24492	7590 09/27/2002		EXAMINI	ER
	BUGBEE, ASSOCIAT	GORDON, RAEANN		
SPALDING SP 425 MEADOW	ORTS WORLDWIDE I STREET	NC	ART UNIT	PAPER NUMBER
PO BOX 901			3711	
CHICOPEE, M	A 01021-0901		DATE MAILED: 09/27/2002	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 142 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 142 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Weshington, D.C. 20231 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/832,154	4 04/10/2001 Michael J. Sullivan		P-3724-2-F1-C2	2656
24492	7590 09/27/2002		EXAMIN	ER
MICHELLE I	BUGBEE, ASSOCIATE	GORDON, RAEANN		
SPALDING SP 425 MEADOW	ORTS WORLDWIDE INC STREET		ART UNIT	PAPER NUMBER
PO BOX 901			3711	
CHICOPEE, MA 01021-0901 UNITED STATES			DATE MAILED: 09/27/2002	

Notice of Possible Fee Increase on October 1, 2002

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2002, then the amount due may be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there may be an increase in fees effective on October 1, 2002. See Revision of Patent and Trademark Fees for Fiscal Year 2003: Notice of Proposed Rulemaking, 67 Fed. Reg. 30634, 30636 (May 7, 2002). Although a change to the amount of the publication fee is not currently proposed for October 2002, if the issue fee or publication fee is to be paid on or after October 1, 2002, applicant should check the USPTO web site for the current fees before submitting the payment. The USPTO Internet address for the fee schedule is: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of any fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filled on or after October 1, 2002 (or mailed with a certificate of mailing on or after October 1, 2002), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)				
	00/922 454	MICHAEL J. SULLIVAN	1			
Notice of Allowability	09/832,154 Examiner	Art Unit				
		0744				
	Raeann Gorden	3711				
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31	(OR REMAINS) CLOSED in to or other appropriate communities. This application is sul	nis application. If not included ication will be mailed in due course.	. THIS initiative			
1. A This communication is responsive to the amendment received	eived 9-26-02.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
2. The allowed claim(s) is/are <u>1-6</u> .						
3. The drawings filed on 10 April 2001 are accepted by the B		n				
 4. ☐ Acknowledgment is made of a claim for foreign priority un a) ☐ All b) ☐ Some* c) ☐ None of the: 	der 35 U.S.C. § 119(8)-(0) Ur (1).				
 Certified copies of the priority documents have 	e been received.					
Certified copies of the priority documents hav						
Copies of the certified copies of the priority de	ocuments have been received	in this national stage application fro	m the			
International Bureau (PCT Rule 17.2(a)).						
* Certified copies not received:						
5. Acknowledgment is made of a claim for domestic priority						
(a) The translation of the foreign language provisional						
6. Acknowledgment is made of a claim for domestic priority	under 35 U.S.C. §§ 120 and/or	121.				
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of the substitute of the complex of the com	f this application. THIS THRE mitted. Note the attached EXA	E-MONTH PERIOD IS NOT EXTER MINER'S AMENDMENT OF NOTICE	NDABLE			
HAI OLIMAT LATERA MATERIALISTA (L. 10-102) MINOS BASOLOS	200(0)	•				
 CORRECTED DRAWINGS must be submitted. (a) including changes required by the Notice of Draftsperiod 1) hereto or 2) to Paper No 	erson's Patent Drawing Review	(PTO-948) attached				
(b) ☐ including changes required by the proposed drawing	correction filed which	has been approved by the Examin	er.			
(c) ☐ including changes required by the proposed drawing (c) ☐ including changes required by the attached Examine						
(c) Including changes required by the attached Examin	, o, monamone, commone a					
Identifying indicia such as the application number (see 37 CFR of each sheet. The drawings should be filed as a separate pap	1.84(c)) should be written on the er with a transmittal letter address	e drawings in the top margin (not the ssed to the Official Draftsperson.	back)			
9. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.						
Attachment(s)						
1 ☐ Notice of References Cited (PTO-892)		Informal Patent Application (PTO-1				
3 Notice of Draftperson's Patent Drawing Review (PTO-948)	· 					
 5⊠ Information Disclosure Statements (PTO-1449), Paper No. 7□ Examiner's Comment Regarding Requirement for Deposit 	<u></u>	's Statement of Reasons for Allowa	ence			
of Biological Material 9 Other .						

U.S. Patent and Trademark Office PTO-37 (Rev. 04-01) Application/Control Number: 09/832,154

Art Unit: 3711

Page 2

REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance: the prior art of record does not disclose or fairly suggest a golf ball comprising: a core; an inner cover layer having a Shore D hardness of 60 or more, the inner cover layer comprising a blend of two or more low acid ionomer resins containing no more than 16% by weight of an alpha, beta-unsaturated carboxylic acid; and an outer cover layer having a Shore D hardness of 64 or less on said inner cover layer, said outer cover layer comprising a relatively soft polymeric material selected from the group consisting of non-ionomeric thermoplastic and thermosetting elastomers.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Raeann Gorden whose telephone number is 703-308-8354. The examiner can normally be reached on 7:30 AM to 5:00 PM Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Paul Sewell can be reached on 703-308-2126. The fax phone numbers for

Case 1:06-cv-00091-SLR Document 226-5 Filed 08/14/07 Page 26 of 65 PageID #: 4742

Application/Control Number: 09/832,154

Page 3

Art Unit: 3711

the organization where this application or proceeding is assigned are 703-305-3579 for regular communications and 703-308-3579 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1148.

rg September 26, 2002 Steven Word
Primary Examiner

PTO/SB/08B Approved for use through 10/31/2002. OMD 0651-0031

Page 1 of 16

JUN 2 1 2002 Substitute for form 1449A INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)

Atty. Docket No.: SLD 2 0035-3-3-1-1(II)

Application No.: 09/832,154

First Named Inventor: Michael J. Sullivan

Filing Date: April 10,2001 Examiner: Recann Gordon

Group: 3711

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.	Document No.	Publication Date			Name of Patentee or icant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Ras	AA	2,741,480	10 APR 195	56	Smith				
١	AB	2,764,572	25 SEP 195	6	Pechu	kas			
	AC	2,973,800	07 MAR 19	61	Mucc	ino			
	AD	3,053,539	11 SEP 196	2	Piech	owski	\$ <u>\$</u>		
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			FOREIGN	PATENT	DOC	UMENTS			
Examiner Initials*	Cite No.	Foreign Patent D	ocument	Publication	n Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, T Where Relevant Passages or ?		
		Country Code & Cou	intry Number			Document	Relevant Figures Appear		
6	AL	GB 494,031		19 OCT 19	938	Twiss, et al.			
	AM	GB 2 245 580 A		08 JAN 19	92	Kim et al.			
	AN	GB 2 248 067 A		25 MAR 1	992	Viollaz			
	ЛО	GB 2 264 302 A		25 AUG 1	993	Pocklington			
	AP	GB 2 278 609 A		07 DEC 19	994	Sullivan			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ?				
Ras	AQ	"Silicones" reprinted from Encyclopedia of Polymer Science and Engineering, Vol. 15, Second Edition, Pages 204-308, 1989					
10	AR	The Chemistry of Polyurethane Coatings: A General Reference Manual; by Mobay Corporation, 1988					

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Examiner Signature		Alam 1	My.	-la-	Date Considered	9-26-02
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Page 2 of 16

INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)

Atty. Docket No.: SLD 2 0035-3-3-1-1(II)

Application No.: 09/832,154

First Named Inventor: Michael J. Sullivan

Filing Date: April 10,2001

Examiner: Raeann Gordon

Group: 3711

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Document No.	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Rgo	AA	3,534,965	20 OCT 1970	Harrison et al.		
	AB	3,572,721	30 MAR 1971	Harrison, et al.		
	AC	3,756,607	04 SEP 1973	Lukinac et al.		
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·	AG	4,076,255	28 FEB 1978	Moore et al.	20 E.D	
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FOREIGN PATENT DOCUMENTS								
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	,	Country Code & Country Number			Relevant Figures Appear	,		
la	AL	GB 2 291 811 A	07 FEB 1996	Maruko et al.				
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1 ,	AO	EP 0 589 647 B1	22 JUL 1998	Yabuki et al.				
V	AP	EP 0 630 665 A1	28 DEC 1994	Proudfit				

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Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ?			
19	AQ	Cytec Industries, Inc., "TMXDI®(META) Aliphatic Isocyanate" brochure, pp 2-11, 1994				
Ro	AR	Bayer Corporation, "Engineering Polymers: RIM Part and Mold Design: Polywrethanes" brochure, pp. 1-85, 12/95				

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Page 3 of 16

JUN 2 1 2002

Substitute for form 1449A/F INFORMATION DISCLOSURE STATEMENT BY

APPLICANT(S)

Atty. Docket No.: SLD 2 0035-3-3-1-1(II)

Application No.: 09/832,154

First Named Inventor: Michael J. Sullivan

Filing Date: April 10,2001

Examiner: Raeana Gordon

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Examiner Initials*	Cite No.	Document No.	Publicati	on Date		Name of Pate icant of Cited		Relevant Pa	mns, Lines, Where assages or Relevan ares Appear	
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	AB	4,248,432	03 FEB 198	31	Hewit	t et al.			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
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7	АМ	EP 0 633 04	3 A1	II JAN	1995	Higuchi et	al.			L
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Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ?
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Ros	AR	Miles, Inc., "Engineering Polymers Properties Guide: Thermoplastics and Thermosets" brochure, pp. 1- 24, 5/94	

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Approved for use through 10/31/2002. OMB 0651-0031

Page 4 of 16 Application No.: 09/832,154 Substitute for form 1449A/PTO Atty. Docket No.: SLD 2 0035-3-3-1-1(II) INFORMATION DISCLOSURE First Named Inventor: Michael J. Sullivan STATEMENT BY APPLICANT(S) Examiner: Raeann Gordon Filing Date: April 10,2001 Group: 3711 U.S. PATENT DOCUMENTS Pages, Columns, Lines, Where Name of Patentee or Document No. Publication Date Examiner Cite Applicant of Cited Document Relevant Passages or Relevant Initials* No. Figures Appear Dominguez 4,607,090 19 AUG 1986 AΑ Yamada 4,625,964 02 DEC 1986 AB 17 MAR 1987 Molitor et al. AC4,650,193 28 APR 1987 Tomar AD 4,660,830 Molitor et al. AE 4,674,751 23 JUN 1987 Melvin et al. ΑF 4,679,795 14 JUL 1987 28 JUL 1987 Kakiuchi et al. AG 4,683,257 4,688,801 25 AUG 1987 Reiter AH Statz 01 SEP 1987 ΑĬ 4,690,981 22 SEP 1987 Newcomb et al. ΑJ 4,695,055 Nakahara et al. 22 DEC 1987 4,714,253 FOREIGN PATENT DOCUMENTS **Publication Date** Name of Patentee or Pages, Columns, Lines, Foreign Patent Document Examiner Cite Applicant of Cited Where Relevant Passages or Initials* Nο Relevant Figures Appear Document Country Code & Country Number AL AM AN AΩ AP OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item T Examiner Cite (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), initials* No. publisher, city and/or country where published Hanser Publishers, "Polyurethane Handbook: Chemistry-Raw Materials-Processing-Application-AQ Properties", 2nd Edition, Table of Contents and pp. 101-102, 1994 AR

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Atty. Docket No.: SLD 2 0035-3-3-1-1(II)

Application No.: 09/832,154

INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)

First Named Inventor: Michael J. Sullivan

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Examiner Initials*	Cite No.	Document No.	Publicati	on Date	Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
Ros	AA	4,762,322	09 AUG 19	88	Molito	r et al.				
1	AB	4,798,386	17 JAN 198	39	Berard				<u> </u>	~
	AC	4,844,471	04 JUL 198	9	Terenc	e et al.				볼
	AD	4,848,770	18 JUL 198	19	Shama	<u> </u>			\$ 3	,
	AE	4,852,884	01 AUG 19	89	Sulliva	m				
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Examiner Initials*	Cite No.	Foreign Patent D	ocument			Name of Patentee or Applicant of Cited	Where Relev	lumns, Lines, ant Passages or	7	
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Examiner Signature	Mulan	n March	Date Considered	9-26-02

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)	First Named Inventor: Michael J. Sullivan								
AIT DICANT(5)	Filing Date: April 10,2001	Examiner: Racenn Gordon	Group: 3711						

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Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ?
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JUN 2 1 MILE Page 7 of 16 Substitute for form 7449A/PTO Atty. Docket No.: SLD 2 0035-3-3-1-1(II) Application No.: 09/832,154 INFORMATION DISCLOSURE First Named Inventor: Michael J. Sullivan STATEMENT BY APPLICANT(S) Filing Date: April 10,2001 Examiner: Raeann Gordon Group: 3711 U.S. PATENT DOCUMENTS Pages, Columns, Lines, Where Publication Date Name of Patentee or Examiner Cite Document No. Applicant of Cited Document Relevant Passages or Relevant Initials* No. Figures Appear 5,035,425 30 JUL 1991 **Edwards** AA 03 SEP 1991 Meyer et al. AB5,045,591 AC 5,048,838 17 SEP 1991 Chikaraishi et al. П 29 OCT 1991 Warner AD 5,061,757 26 NOV 1991 Nakamura AE 5,068,151 26 NOV 1991 Maro et al. AF 5,068,152 17 DEC 1991 Nakahara et al. AG 5,072,944 AH 5,096,201 17 MAR 1992 Egashira et al. 24 MAR 1992 Sullivan 5,098,105 ΑĬ Gentiluomo AJ 5,104,126 14 APR 1992 Sullivan ΑK 5,120,791 09 JUN 1992 FOREIGN PATENT DOCUMENTS Pages, Columns, Lines, **Publication Date** Name of Patentee or Cite Foreign Patent Document Examiner Initials* No. Applicant of Cited Where Relevant Passages or Relevant Figures Appear Document Country Code & Country Number ALAM AN AO AP OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Cite T Examiner (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials* No. publisher, city and/or country where published AQ

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JUN 2-1 2002 Page 8 of 16 Substitute for form 1497/PTO Atty. Docket No.: SLD 2 0035-3-3-1-1(II) Application No.: 09/832,154 INFORMATION DISCLOSURE STATEMENT BY First Named Inventor: Michael J. Sullivan APPLICANT(S) Filing Date: April 10,2001 Examiner: Raeann Gordon Group: 3711 U.S. PATENT DOCUMENTS Cite Document No. **Publication Date** Name of Patentee or Pages, Columns, Lines, Where Examiner Applicant of Cited Document Relevant Passages or Relevant Initials* Figures Appear 01 SEP 1992 5,142,835 AA Mrocca 5,150,906 29 SEP 1992 Molitor et al. AB Kitaoh et al. AC 5,156,405 20 OCT 1992 \Box 09 FEB 1993 Kim et al. AD 5,184,828 11 AE 5,187,013 16 FEB 1993 Sullivan 5,197,740 30 MAR 1993 Pocklington et al. AF 5,222,739 29 JUN 1993 Horiuchi et al. AG Yamada 14 SEP 1993 AH 5,244,969 Viollaz 5,253,871 19 OCT 1993 ΑĬ Sun 28 DEC 1993 AJ 5,273,286 Molitor et al. 5,273,287 28 DEC 1993 AK FOREIGN PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Cite Foreign Patent Document **Publication Date** Examiner T Applicant of Cited Where Relevant Passages or Initials* No. Document Relevant Figures Appear Country Code & Country Number AL. AM AN AO AP **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS** Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item T

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publisher, city and/or country where published

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Page 9 of 16 Substitute for form 1449APTO Application No.: 09/832,154 Atty. Docket No.: SLD 2 0035-3-3-1-1(II) INFORMATION DISCLOSURE First Named Inventor: Michael J. Sullivan STATEMENT BY APPLICANT(S) Filing Date: April 10,2001 Examiner: Raeann Gordon Group: 3711 U.S. PATENT DOCUMENTS Pages, Columns, Lines, Where Examiner Cite Document No. **Publication Date** Name of Patentee or Applicant of Cited Document Relevant Passages or Relevant Initials* No. Figures Appear 5,274,041 28 DEC 1993 Yamada AA Arjunan et al. AB 5,281,651 25 JAN 1994 05 APR 1994 Niederst et al. 5,300,334 AC 19 APR 1994 Yabuki et al. 5,304,608 AD Sullivan 26 APR 1994 AE 5,306,760 AF 5,312,857 17 MAY 1994 Sullivan Proudfit AG 5,314,187 24 MAY 1994 Sullivan 5,324,783 28 JUN 1994 AΗ 19 JUL 1994 Sullivan ΑJ 5,330,837 02 AUG 1994 Wu 5,334,673 ΑJ 16 AUG 1994 Sullivan AK 5,338,610 FOREIGN PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, **Publication Date** T Cite Foreign Patent Document Examiner Applicant of Cited Where Relevant Passages or Initials* No. Refevant Figures Appear Document Country Code & Country Number AL. AM AN AO ΑP OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials* No. publisher, city and/or country where published AQ AR

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Page 10 of 16 Substitute for form 1449AAP Atty. Docket No.: SLD 2 0035-3-3-1-1(II) Application No.: 09/832,154 INFORMATION DISCLOSURE First Named Inventor: Michael J. Sullivan STATEMENT BY APPLICANT(S) Filing Date: April 10,2001 Examiner: Raeann Gordon Group: 3711 U.S. PATENT DOCUMENTS Pages, Columns, Lines, Where Examiner Cite Document No. Publication Date Name of Patentee or Relevant Passages or Relevant Applicant of Cited Document Initials* No. Figures Appear 29 NOV 1994 Sullivan et al. AA 5,368,304 29 NOV 1994 Harasin et al. AB 5,368,806 07 FEB 1995 Sullivan AC 5,387,637 5,387,750 07 FEB 1995 Chiang AD Knapp et al. 07 FEB 1995 **AE** 5,387,870 Kato et al. AF 5,397,129 14 MAR 1995 04 APR 1995 Yabuki et al. AG 5,403,010 5,439,227 08 AUG 1995 Egashira et al. AH Yamagishi et al. ΑI 5,452,898 26 SEP 1995 5,480,155 02 JAN 1996 Molitor et al. ΑJ 5,482,285 09 JAN 1996 Yabuki et al. AK FOREIGN PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Cite Foreign Patent Document **Publication Date** Examiner T Initials* No. Applicant of Cited Where Relevant Passages or Relevant Figures Appear Document Country Code & Country Number AL

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Atty. Docket No.: SLD 2 0035-3-3-1-1(II)

Application No.: 09/832,154

INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)

First Named Inventor: Michael J. Sullivan

Filing Date: April 10,2001

Examiner: Raeann Gordon

Group: 3711

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Substitute for locality 4284 (1986)

Atty. Docket No.: SLD 2 0035-3-3-1-1(II)

Application No.: 09/832,154

INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)

First Named Inventor: Michael J. Sullivan

Filing Date: April 10,2001

Examiner: Raeann Gordon Group: 3711

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Page 13 of 16 Substitute for form 1449AF Atty. Docket No.: SLD 2 0035-3-3-1-1(II) Application No.: 09/832,154 INFORMATION DISCLOSURE First Named Inventor: Michael J. Sullivan STATEMENT BY APPLICANT(S) Filing Date: April 10,2001 Examiner: Racann Gordon Group: 3711 U.S. PATENT DOCUMENTS **Publication Date** Name of Patentee or Pages, Columns, Lines, Where Cite Document No. Examiner Applicant of Cited Document Relevant Passages or Relevant Initials* No. Figures Appear Yamagishi et al. 14 JUL 1998 AA 5,779,563 AB 5,782,707 21 JUL 1998 Yamagishi et al. 21 JUL 1998 AC 5,783,293 Lammi 11 AUG 1998 Kakiuchi et al. 5,792,008 ADHayashi et al. 25 AUG 1998 AE 5,797,808 Sullivan et al. AF 5,800,284 01 SEP 1998 08 SEP 1998 Sullivan et al. AG 5,803,831 22 SEP 1998 Cavallaro et al. AΗ 5,810,678 ΑI 5,813,923 29 SEP 1998 Cavallaro et al. ΑJ 5,816,937 06 OCT 1998 Shimosaka et al. 5,820,488 13 OCT 1998 Sullivan et al. AK FOREIGN PATENT DOCUMENTS Publication Date Name of Patentee or Pages, Columns, Lines, Examiner Cite Foreign Patent Document Т Applicant of Cited Where Relevant Passages or Initials* No. Document Relevant Figures Appear Country Code & Country Number AL AM AN AO AP OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Cite Examiner (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials* No. publisher, city and/or country where published AQ AR

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Substitute for form 14947778 MARIA INFORMATION DISCLOSURE STATEMENT BY

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Atty. Docket No.: SLD 2 0035-3-3-1-1(II)

Application No.: 09/832,154

First Named Inventor: Michael J. Sullivan

Filing Date: April 10,2001 Examiner: Racana Gordon

Group: 3711

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Atty. Docket No.: SLD 2 0035-3-3-1-1(II)

Application No.: 09/832,154

INFORMATION DISCLOSURE STATEMENT BY

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First Named Inventor: Michael J. Sullivan

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Case 1:06-cv-00091-SLR Document 226-5 Filed 08/14/07 Page 43 of 65 PageID #: 4759

	Application No.	Applicant(s)			
International Paramagna	09/832,154	MICHAEL J. SULLIVAN			
Interview Summary	Examiner	Art Unit			
	Raeann Gorden	3711			
All participants (applicant, applicant's representative, PTO	personnel):				
(1) Raeann Gorden.	(3)				
(2) <u>Michelle Bugbee</u> .	(4)				
Date of Interview: 25 September 2002.					
Type: a)⊠ Telephonic b)☐ Video Conference c)☐ Personal [copy given to: 1)☐ applicant	2) applicant's representativ	e)			
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e) No.				
Claim(s) discussed: 6.					
Identification of prior art discussed:					
Agreement with respect to the claims f) was reached	. g)☐ was not reached. h)[□ N/A.			
Substance of Interview including description of the general reached, or any other comments: <u>See Continuation Sheet</u>	al nature of what was agreed to $rac{\partial f}{\partial t}$.	o if an agreement was			
(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)					
i)⊠ It is not necessary for applicant to provide a checked).	separate record of the substan	ce of the interview(if box is			
Unless the paragraph above has been checked, THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.					
Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.	Examiner's sig	nature, if required			

U.S. Patent and Trademark Office PTO-413 (Rev. 03-98)

Interview Summary

Paper No. 8



Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case unless both applicant and examiner agree that the examiner will record same. Where the examiner agrees to record the substance of the interview. or when it is adequately recorded on the Form or in an attachment to the Form, the examiner should check the appropriate box at the bottom of the Form which informs the applicant that the submission of a separate record of the substance of the interview as a supplement to the Form is not required.

It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Case 1:06-cv-00091-SLR Document 226-5 Filed 08/14/07 Page 45 of 65 PageID #: 476

Continuation Sheet (PTO-413)

Application No. 09/873,642

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Applicant will provide Examiner with a copy of the original claims from the parent application (08/556,237) filed 11-9-95 to show the Shore D hardness and the flexural modulus is not new subject matter. Once reviewed by the Examiner applicant will amend the specification to include the Shore D hardness and flexural modulus for the outer layer. Applicant will also amend claim 6 to include the Shore D hardness values for each cover layer. Applicant will submit the changes in a supplemental amendment..

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid Ordin control marrier. TRANSMIT THIS FORM WITH FEE(E)

ved for use durant 01/31/2004. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Received from <4133222575 > at 11/21/02 8:39:41 AM (Eastern Standard Time)



UNITED STATES PATENT AND TRADEMARK OFFICE UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE Alexandria, Virginia 22313

Patent No. 6.506130

Paper No. //

NOTICE OF INTER PARTES REEXAMINATION

Notice is hereby given that a request for inter partes reexamination of U.S. Patent No
6.506/30 was filed on 1/17/06 under 35 U.S.C. 311(a) and
37 CFR 1.913.
The reexamination proceeding has been assigned Control No. 95/000, 122.
This Notice incorporates by reference into the patent file, all papers entered into the
reexamination file.

Note: This Notice should be entered into the patent file and given a paper number.

03/22/2006 08:17 FAX 612 288 9696

FISH AND RICHARDSON

Ø 001/015

COPY FOR PATENT OFFICIAL COMMUNICATION FACSIMILE

CENTRAL REEXAMINATION UNIT (FAX NO: 571-273-9900)

Number of pages including this page	15	÷
In re Sullivan Reexamination Proceeding Control No.: 95/000,120 Filed: January 17, 2006 For: U.S. Patent No. 6,210,293)) Examiner: Michael W. O'Neill) Art Unit: 3993)	
In re Sullivan Reexamination Proceeding Control No.: 95/000,121 Filed: January 17, 2006 For: U.S. Patent No. 6,503,156) Examiner: Michael W. O'Neill Art Unit: 3993	FAX RECEIVED MAR 2 2 2006 REEXAM UNIT
In re Sullivan Reexamination Proceeding Control No.: 95/000,122 Filed: January 17, 2006 For: U.S. Patent No. 6,506,130)) Examiner: Michael W. O'Neill) Art Unit: 3993)	
In re Sullivan Reexamination Proceeding Control No.: 95/000,123 Filed: January 17, 2006 For: U.S. Patent No. 6,595,873)) Examiner: Michael W. O'Neill) Art Unit: 3993)	

The following documents are attached to this facsimile communication cover sheet, which was facsimiled to the United States Patent and Trademark Office on March 22, 2006: Revocation and New Power of Attorney documents and a Certification Under 37 C.F.R. § 1.903.

Respectfully submitted,

Date: March 22, 2006

Derothy P. Whelan (Reg. No. 33,814) J. Patrick Finn III (Reg. No. 44,109)

Fish & Richardson P.C., P.A. 60 South Sixth Street, Suite 3300 Minneapolis, MN 55402 Telephone: (612) 335-5070 Fax: (612) 288-9696

NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential information. If you have received this facsimile in error, please immediately call us collect at (612).335-5070 to arrange for its return. Thank you.

PAGE 1/15* RCVD AT 3/22/2006 9:19:43 AM [Eastern Standard Time] * SVR:USPTO-EFXRF-2/10* DNIS:27/39900 * CSID:612 288 9696 * DURATION (mm-ss):07-38

Ø1002/015 .

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. Issue Date

: 6,210,293 : April 3, 2001

Applicant

: Michael J. Sullivan

Reexamination Proceeding

Control No.: 95/000,120

Art Unit : 3993

Filed

: January 17, 2006

Examiner: Michael W. O'Neill

Central Reexamination Unit Facsimile: 571-273-9900

REVOCATION AND NEW POWER OF ATTORNEY

Under 37 C.F.R. § 3.73(b), CALLAWAY GOLF COMPANY, INC., a corporation of Delaware, certifies that it is the assignee of 100% of the right, title and interest in the abovereferenced patent by virtue of:

- A chain of title from the inventor of the above-referenced patent to the current assignee as shown below. The reel and frame numbers of the recorded assignments or other documents in the chain of title are provided.
- Assignment from Michael J. Sullivan to Spalding Sports Worldwide, Inc., recorded in the U.S. Patent and Trademark Office at Reel 7750, Frame 0242 on November 9, 1995, for parent patent application Serial No. 08/556,237.
- Name change from Spalding Sports Worldwide, Inc. to The Top-Flite Golf Company, recorded in the U.S. Patent and Trademark Office at Reel 013712, Frame 0219 on June 2, 2003.
- Assignment from The Top-Flite Golf Company to Callaway Golf Company, 3 recorded in the U.S. Patent and Trademark Office at Reel 014007, Frame 0688 on September 26, 2003.

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated

PAGE 2/15 * RCVD AT 3/22/2006 9:19:43 AM [Eastern Standard Time] * SVR:USPTO-EFXRF-2/10 * DNIS:2739900 * CSID:612 288 9696 * DURATION (mm-ss):07-38

03/22/2006 08:18 FAX 612 288 9696

FISH AND RICHARDSON

Ø 003/015

Patent No. : 6,210,293
Issued : April 3, 2001
Applicant : Michael J. Sullivan

Reexamination Proceeding
Control No.: 95/000,120
Filed: January 17, 2006

Page : 2 of 3

To the best of undersigned's knowledge and belief, title is in the assignce identified above.

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

The undersigned, acting on behalf of the assignee, hereby revokes all powers of attorney previously granted in the above-referenced patent and appoints:

Dorothy P. Whelan, Reg. No. 33,814	Mark S. Ellinger, Ph.D., 33,812
Ronald C. Lundquist, Ph.D., Reg. No. 37,875	Richard J. Anderson, Reg. 36,732
J. Patrick Finn III, Ph.D., Reg. No. 44,109	John F. Hayden, Reg. No. 37,640
M. Angela Parsons, Ph.D., Reg. No. 44,282	John C. Phillips, Reg. No. 35,322
Teresa A. Lavoic, Ph.D., Reg. No. 42,782	Michael Catania, Reg. No. 36,474

with full power of substitution and revocation, to prosecute the above-referenced reexamination proceeding and to transact all business in the United States Patent and Trademark Office in connection with the above-referenced patent.

All correspondence regarding the application should be sent to:

Dorothy P. Whelan
Fish & Richardson P.C.
P.O. Box 1022
Minneapolis, MN 55440-1022

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

03/22/2006 08:19 FAX 612 288 9696

FISH AND RICHARDSON

· 🛭 004/015

Patent No. :

6,210,293 : April 3, 2001

Issued

Applicant: Michael J. Sullivan

Reexamination Proceeding

Control No.: 95/000,120 Filed: January 17, 2006

Page

: 3 of 3

Code and that such willful false statements may jeopardize the validity of the above-referenced patent.

Respectfully submitted,

CALLAWAY GOLF COMPANY

Date: Manch VI, 2006

Title: Senior Vice President, General Counsel

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03/22/2006 08:19 FAX -612 288 9696

FISH AND RICHARDSON

2005/015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. Issue Date -6,503,156

: January 7, 2003

Applicant

: Michael J. Sullivan

Reexamination Proceeding

Control No.: 95/000,121

Art Unit : 3993

Filed

: January 17, 2006

Examiner: Michael W. O'Neill

Central Reexamination Unit Facsimile: 571-273-9900

REVOCATION AND NEW POWER OF ATTORNEY

Under 37 C.F.R. § 3.73(b), CALLAWAY GOLF COMPANY, INC., a corporation of Delaware, certifies that it is the assignee of 100% of the right, title and interest in the abovereferenced patent by virtue of:

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- Assignment from The Top-Flite Golf Company to Callaway Golf Company, 3. recorded in the U.S. Patent and Trademark Office at Reel 014007, Frame 0688 on September 26. 2003.

CERTIFICATE OF TRANSMISSION BY FACSIMILE

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03/22/2006 08:19 FAX 612 288 9696

FISH AND RICHARDSON

Ø 006/015

Patent No.

6,503,156

Issued Applicant January 7, 2003 Michael J. Sullivan

Reexamination Proceeding

Control No. :

95/000,121 January 17, 2006

Filed Page

2 of 3

To the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

The undersigned, acting on behalf of the assignee, hereby revokes all powers of attorney previously granted in the above-referenced patent and appoints:

Dorothy P. Whelan, Reg. No. 33,814	Mark S. Ellinger, Ph.D., 33,812
Ronald C. Lundquist, Ph.D., Reg. No. 37,875	Richard J. Anderson, Reg. 36,732
J. Patrick Finn III, Ph.D., Reg. No. 44,109	John F. Hayden, Reg. No. 37,640
M. Angela Parsons, Ph.D., Reg. No. 44,282	John C. Phillips, Reg. No. 35,322
Teresa A. Lavoic, Ph.D., Reg. No. 42,782	Michael Catania, Reg. No. 36,474

with full power of substitution and revocation, to prosecute the above-referenced reexamination proceeding and to transact all business in the United States Patent and Trademark Office in connection with the above-referenced patent.

All correspondence regarding the application should be sent to:

Dorothy P. Whelan Fish & Richardson P.C. P.O. Box 1022 Minneapolis, MN 55440-1022

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

Case 1:06-cv-00091-SLR Document 226-5 Filed 08/14/07 Page 54 of 65 PageID #: 4770

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FISH AND RICHARDSON

Ø 007/015

Patent No. :

6,503,156

Issucd

January 7, 2003

Applicant :

Michael J. Sullivan

Reexamination Proceeding Control No. : 95/000,121

January 17, 2006

Filed Page

3 of 3

Code and that such willful false statements may jeopardize the validity of the above-referenced patent.

Respectfully submitted,

CALLAWAY GOLF COMPANY

Michael J. Rider, Esq.

Title: Senior Vice President, General Counsel



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FISH AND RICHARDSON

@ 008/015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.

: 6,506,130

Issue Date

: January 14, 2003

Applicant

: Michael J. Sullivan

Reexamination Proceeding

Control No.: 95/000,122

Filed

: January 17, 2006

Art Unit : 3993

Examiner: Michael W. O'Neill

Central Reexamination Unit Facsimile: 571-273-9900

REVOCATION AND NEW POWER OF ATTORNEY

Under 37 C.F.R. § 3.73(b), CALLAWAY GOLF COMPANY, INC., a corporation of Delaware, certifies that it is the assignee of 100% of the right, title and interest in the abovereferenced patent by virtue of:

- A chain of title from the inventor of the above-referenced patent to the current assignee as shown below. The reel and frame numbers of the recorded assignments or other documents in the chain of title are provided.
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CERTIFICATE OF TRANSMISSION BY FACSIMILE

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Date of

Typed or Printed Name of Person Signing Certificat

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FISH AND RICHARDSON

Ø 009/015

Patent No.

6,506,130

Issued

January 14, 2003

Applicant

Michael J. Sullivan

Reexamination Proceeding Control No. :

95/000.122 January 17, 2006

Filed Page

2 of 3

To the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

The undersigned, acting on behalf of the assignee, hereby revokes all powers of attorney previously granted in the above-referenced patent and appoints:

Dorothy P. Whelari, Reg. No. 33,814	Mark S. Ellinger, Ph.D., 33,812
Ronald C. Lundquist, Ph.D., Reg. No. 37,875	Richard J. Anderson, Reg. 36,732
J. Patrick Finn III, Ph.D., Reg. No. 44,109	John F. Hayden, Reg. No. 37,640
M. Angela Parsons, Ph.D., Reg. No. 44,282	John C. Phillips, Reg. No. 35,322
Teresa A. Lavoie, Ph.D., Reg. No. 42,782	Michael Catanía, Reg. No. 36,474

with full power of substitution and revocation, to prosecute the above-referenced reexamination proceeding and to transact all business in the United States Patent and Trademark Office in connection with the above-referenced patent.

All correspondence regarding the application should be sent to:

Dorothy P. Whelan Fish & Richardson P.C. P.O. Box 1022 Minneapolis, MN 55440-1022

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States 03/22/2006 08:21 FAX 612 288 9696

FISH AND RICHARDSON

Ø 010/015

Patent No. :

6,506,130

Issued

January 14, 2003

Applicant : Michael J. Sullivan

Reexamination Proceeding Control No.: 95/000,122

Filed Page

January 17, 2006 3 of 3

Code and that such willful false statements may jeopardize the validity of the above-referenced patent.

Respectfully submitted,

CALLAWAY GOLF COMPANY

Date:

Title: Senior Vice President, General Counsel

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03/22/2006 08:22 FAX 612 288 9696

FISH AND RICHARDSON

@ 011/015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.

: 6,595,873

Issue Date

: July 22, 2003

Applicant

: Michael J. Sullivan

Reexamination Proceeding

Control No.: 95/000,123

Art Unit : 3993

Filed

: January 17, 2006

Examiner: Michael W. O'Neill

Central Reexamination Unit Facsimile: 571-273-9900

REVOCATION AND NEW POWER OF ATTORNEY

Under 37 C.F.R. § 3.73(b), CALLAWAY GOLF COMPANY, INC., a corporation of Delaware, certifies that it is the assignee of 100% of the right, title and interest in the abovereferenced patent by virtue of:

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- 3. Assignment from The Top-Flite Golf Company to Callaway Golf Company, recorded in the U.S. Patent and Trademark Office at Reel 014007, Frame 0688 on September 26, 2003.

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Palent and Trademark Office on the date indicated below.

Date of Transmission

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PAGE 11/15 * RCVD AT 3/22/2006 9:19:43 AM [Eastern Standard Time] * SVR:USPTO-EFXRF-2/10 * DNIS:2739900 * CSID:612 288 9696 * DURATION (mm-ss):07-38

03/22/2006 08:22 FAX 612 288 9696

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FISH AND RICHARDSON

@ 012/015

Patent No.

6,595,873 July 22, 2003

Issued Applicant

Michael J. Sullivan

Reexamination Proceeding

Control No.: 95/000,123 Filed

January 17, 2006

Page

2 of 3

To the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

The undersigned, acting on behalf of the assignee, hereby revokes all powers of attorney previously granted in the above-referenced patent and appoints:

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Ronald C. Lundquist, Ph.D., Reg. No. 37,875	Richard J. Anderson, Reg. 36,732				
J. Patrick Finn III, Ph.D., Reg. No. 44,109	John F. Hayden, Reg. No. 37,640				
M. Angela Parsons, Ph.D., Reg. No. 44,282	John C. Phillips, Reg. No. 35,322				
Teresa A. Lavoie, Ph.D., Reg. No. 42,782	Michael Catania, Reg. No. 36,474				

with full power of substitution and revocation, to prosecute the above-referenced reexamination proceeding and to transact all business in the United States Patent and Trademark Office in connection with the above-referenced patent.

All correspondence regarding the application should be sent to:

Dorothy P. Whelan Fish & Richardson P.C. P.O. Box 1022 Minneapolis, MN 55440-1022

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Case 1:06-cv-00091-SLR Document 226-5 Filed 08/14/07 Page 60 of 65 PageID #: 4776

03/22/2006 08:23 FAX 812 288 9696

FISH AND RICHARDSON

Ø 013/015

Patent No. : 6,595,873 Issued : July 22, 2003

Applicant: July 22, 2003

Applicant: Michael J. Sullivan

Reexamination Proceeding Control No. : 95/000,123

Control No.

January 17, 2006

Page

3 of 3

Code and that such willful false statements may jeopardize the validity of the above-referenced patent.

Respectfully submitted,

CALLAWAY GOLF COMPANY

Date: March 21, voo 6

Title: Senior Vice President, General Counsel

GGA .

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FISH AND RICHARDSON

Ø 014/015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Sullivan Reexamination Proceeding Control No.: 95/000,120 Filed: January 17, 2006 For: U.S. Patent No. 6,210,293)) Examiner: Michael W. O'Neill) Art Unit: 3993)		
In re Sullivan Reexamination Proceeding Control No.: 95/000,121 Filed: January 17, 2006 For: U.S. Patent No. 6,503,156)) Examiner: Michael W. O'Neill) Art Unit: 3993)		
In rc Sullivan Reexamination Proceeding Control No.: 95/000,122 Filed: January 17, 2006 For: U.S. Patent No. 6,506,130)) Examiner: Michael W. O'Neill) Art Unit: 3993)		
In re Sullivan Reexamination Proceeding Control No.: 95/000,123 Filed: January 17, 2006 For: U.S. Patent No. 6,595,873)) Examiner: Michael W. O'Neill) Art Unit: 3993)		

Central Reexamination Unit 571-273-9900

CERTIFICATION UNDER 37 C.F.R. § 1.903

In accordance with the requirements of 37 C.F.R. § 1.903, Patent Owner, Callaway Golf Company ("Callaway Golf"), hereby certifies that service of the accompanying Revocation and New Power of Attorney documents for the above-referenced inter partes reexamination proceedings was made by U.S. first class mail on March 22, 2006, to the following attorneys for Acushnet Company:

Alan M. Grimaldi (Reg. No. 26,599) Joseph P. Lavelle (Reg. No. 31,036) Andrew R. Sommer (Reg. No. 53,932) Howrey LLP 1299 Pennsylvania Avenue, N.W. Washington, D.C. 20004 03/22/2006 08:24 FAX 612 288 9696

FISH AND RICHARDSON

Ø 015/015

The accompanying Revocation and New Power of Attorney documents for the above-referenced *inter partes* reexamination proceedings also were sent to the attorneys for Acushnet Company via facsimile (202-383-6610) on March 22, 2006.

The Director is authorized to charge any fees or credit any overpayments to Deposit Account No. 06-1050.

Respectfully submitted,

Date: March 22, 2006

orothy P. Whelan (Reg. No. 33,814) Patrick Finn III (Reg. No. 44,109)

Fish & Richardson P.C., P.A. 60 South Sixth Street, Suite 3300 Minneapolis, MN 55402

Telephone: (612) 335-5070 Facsimile: (612) 288-9696



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1430 Alexandria, Virginia 22313-1450 www.urpto.grv

CONFIRMATION NO. 2656

Bib Data Sheet CORRECTED BIB DATA SHEET									
SERIAL NUMBE 09/832,154	FILING OR 371(c) DATE 04/10/2001 RULE	CLASS 473		GROUP ART UNIT 3711		ATTORNEY DOCKET NO. P-3724-2-F1-C2			
APPLICANTS	tinan 1, 19 m. j				NICOTAL DE SANCONO DE LA CONTRACTOR DE LA C				
Michael J. Sullivan, Barrington, RI;									
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Foreign Priority claimed		RI	SHEETS TOT DRAWING CLA 1 6		MS CLAIMS				
ADDRESS Dorothy P. Whelan Fish & Richardson P. C. P. O. Box 1022 Minneapolis ,MN 55440-1022									
MULTI LAYER GOLF BALL									
			ΘA	☐ All Fees					
					1.16 Fees (Filing)				
FILING FEE F	FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT			1.17 Fees (Processing Ext. of time)					
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					Other				
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Michael J. Sullivan

CONFIRMATION NO. 2656

24492 THE TOP-FLITE GOLF COMPANY, A WHOLLY OWNED SUBSIDIARY OF CALLAWAY GOLF COMPANY 2180 RUTHERFORD ROAD **LEGAL DEPT** CARLSBAD, CA 92008-7328

"OC000000018349334"

Date Mailed: 03/23/2006

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/22/2006.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

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Michael J. Sullivan

P-3724-2-F1-C2

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CONFIRMATION NO. 2656 *OC000000018349417*

Date Mailed: 03/23/2006

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/22/2006.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

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